



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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PATENT  
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In re application of: James A. Hagen et al. : Date: February 14, 2003  
Group Art Unit: 3723 : IBM Corporation  
Examiner: Unassigned : Intellectual Property Law  
Serial No.: 09/954,812 : Dept. 917, Bldg. 006-1  
Filed: September 17, 2001 : 3605 Highway 52 North  
Title: EDGE FINISHING FOR GLASS OR : Rochester, MN 55901  
CERAMIC DISKS USED IN DISK  
DRIVE DATA STORAGE DEVICES

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

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TECHNOLOGY CENTER R3700

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

February 14, 20023

(Date of Deposit)

*[Handwritten signature: Debra A. Peterson]*  
Debra A. Peterson

INFORMATION DISCLOSURE STATEMENT

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with

37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The references listed herein were cited in a search report by a foreign patent office, a copy of which is attached hereto. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3). Unless a translation accompanies this Statement, no written English-language translation of any non-English language document, or portion thereof, listed herein, is within the possession custody or control of, or is readily available to any individual designated in 37 C.F.R. 1.56(c).

This Information Disclosure Statement is being filed under 37 C.F.R. 1.97(c) before a final action or notice of allowance. No filing fee is required because each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement (certification provided below).

Respectfully submitted,

By James R. Nock  
James R. Nock  
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CERTIFICATION

I hereby certify that each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

James R. Nock  
James R. Nock

February 14, 2003  
Date